Using classification tree modelling to investigate drug prescription practices at health facilities in rural Tanzania

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Abstract

Background: Drug prescription practices depend on several factors related to the patient, health worker and health facilities. A better understanding of the factors influencing prescription patterns is essential to develop strategies to mitigate the negative consequences associated with poor practices in both the public and private sectors.

Methods: A cross-sectional study was conducted in rural Tanzania among patients attending health facilities, and health workers. Patients, health workers and health facilities-related factors with the potential to influence drug prescription patterns were used to build a model of key predictors. Standard data mining methodology of classification tree analysis was used to define the importance of the different factors on prescription patterns.

Results: This analysis included 1,470 patients and 71 health workers practicing in 30 health facilities. Patients were mostly treated in dispensaries. Twenty two variables were used to construct two classification tree models: one for polypharmacy (prescription of ≥3 drugs) on a single clinic visit and one for co-prescription of artemether-lumefantrine (AL) with antibiotics. The most important predictor of polypharmacy was the diagnosis of several illnesses. Polypharmacy was also associated with little or no supervision of the health workers, administration of AL and private facilities. Co-prescription of AL with antibiotics was more frequent in children under five years of age and the other important predictors were transmission season, mode of diagnosis and the location of the health facility.

Conclusion: Standard data mining methodology is an easy-to-implement analytical approach that can be useful for decision-making. Polypharmacy is mainly due to the diagnosis of multiple illnesses.

Keywords: Polypharmacy, Co-prescription, Anti-malarials, Classification trees, Data mining, Tanzania

Background

Irrational drug use or misuse means the distribution or consumption of drugs in ways that negate or reduce their efficacy or in situations where they are unlikely to have the desired effect [1]. Besides being an economic burden for the patients, communities and health systems, it may result in drug resistance, ineffective treatment and adverse drug events. According to the World Health Organization (WHO) the ‘rational use of drugs’ requires that patients receive medications appropriate to their clinical needs, in doses that meet their own individual requirements for an adequate period of time, and at the lowest cost to them and their community’ [2]. Irrational drug use is a widespread practice in developing counties [3], though it occurs in high-income countries, e.g. antibiotics [4,5].

Tanzania revised its malaria treatment policy in 2006 and replaced sulphadoxine-pyrimethamine (SP) with artemether-lumefantrine (AL) as first-line treatment for uncomplicated malaria [6], complying with the WHO guidelines [7]. Such policy change was necessary because of the decreasing efficacy of SP [6]. The success of a new treatment policy partly depends on the compliance of
prescribers’ to the national guidelines and on the patients’ adherence to the treatment [8]. Irrational treatment practices are more common in the private than in the public sector and in most developing countries the private sector manages over half of all malaria cases [9]. Prompt and effective treatment of malaria patients is one of the cornerstones of the global efforts to reduce malaria morbidity and mortality. As many countries in sub-Saharan Africa are introducing relatively expensive artemisinin-based combination therapy (ACT) into the formal health system for uncomplicated malaria, policy makers, programme managers and donors are interested in assessing the quality of malaria case management with ACT, in particular ensuring that malaria patients are appropriately treated with an ACT [10].

The INDEPTH effectiveness and safety studies of anti-malarial drugs in Africa (INESS) project is a Phase IV study on both existing and new combination anti-malarial therapies in at least seven INDEPTH demographic surveillance system (DSS) sites in four African countries. This project effectively creates the missing final section of the drug development pipeline for Africa by ensuring local evidence on treatment effectiveness. The purpose is to minimize the time gap between licensure and adoption of new anti-malarials by providing objective endemic-country effectiveness data that will help inform global and national policy and practice. This project also enhances the capacity of Africa to monitor local health systems costs, effective coverage, and effects of new or alternative post-registration anti-malarial treatments.

The health facility survey conducted as part of the evaluation of system effectiveness of ACT, generated data on drug prescriptions from patients and health workers in rural health facilities, either private or public. This analysis besides describing the drug prescription patterns illustrates the use of standard data mining methods of classification tree analyses for investigating the influence specific patient, health care provider or health facility characteristics may have on drug prescription.

Methods
Study area and population
The study was conducted in March and October 2010 on patients and health workers in health facilities located within the Rufiji and Ifakara health and demographic surveillance system (HDSS) areas. Rufiji district in the coast region has about 182,000 inhabitants and a HDSS in place since 1998, covering a population of about 85,000 inhabitants [11]. The Ifakara HDSS is located in southern Tanzania and covers two districts (Kilombero and Ulanga) in Morogoro region, with a population of about 99,000 people [12]. All government and non-government health facilities providing outpatient care within the HDSS areas were included (16 in Rufiji and 14 in Ifakara). Investigators visited each facility for two to three days and collected information on attending patients. The target sample size was 720 patients per HDSS to estimate the proportion of those with uncomplicated malaria correctly treated with an ACT with 10% precision, assuming these represented 20% of all patients, that 75% of malaria patients would be treated with an ACT, and a design effect of 2. All patients attending for illness the health facilities on the days of the survey were eligible. Health workers were following the national guidelines for diagnosis and treatment of malaria.

Data collection
After providing their consent to be included in the survey, patients were interviewed prior to leaving the health facility using standardized questionnaires developed in English and translated into Kiswahili. Information on history of fever, health worker’s diagnoses, laboratory tests, medications prescribed and counselling messages was collected. Pregnant patients were excluded from the survey. Health workers providing outpatient consultations were also interviewed on pre-service training, work experience, in-service training and supervision visits received. Assessment of the level of staffing, availability of diagnostics, medications and other medical supplies was done at the health facility. A list of variables used in the analysis is shown in Table 1.

Data management and analysis
Data were double entered and validated using EpiData 3.1 [13], cleaned, managed and analysed using STATA 11[14] and SPSS [15]. Measures for central tendency and dispersion used to describe these data were mean and 95% confidence intervals for continuous variables and percentages for categorical variables. The population was first described on demographics and other variables of importance.

This paper describes and analyses the INESS project health facility survey data from Tanzania. The different methods of data analysis applied in this paper are explained in the next section, starting from standard data mining techniques to investigate the predictors of polypharmacy and co-prescription of AL with an anti-biotic at health facilities in rural Tanzania. Polypharmacy is defined as a practice where a patient was prescribed three or more medications at a single encounter in a health facility or with a health worker.

Statistical methodology
Logistic regression is the standard technique used to investigate the relationship between binary response variables and a set of explanatory variables. However, with the large number of explanatory variables involved,
Table 1 Classification tree variables

<table>
<thead>
<tr>
<th>Type</th>
<th>Variable</th>
<th>Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td>Health facility-related</td>
<td>Type of health facility</td>
<td>Hospital, Health Centre, Dispensary</td>
</tr>
<tr>
<td>Ownership of health facility</td>
<td>Public, Private</td>
<td></td>
</tr>
<tr>
<td>Any artemether lumefantrine</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>available in health facility today</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Supplies to administer oral medications available</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Availability of any scale in the health facility</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>No stock-outs of artemether lumefantrine in previous 90 days</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Health worker-related</td>
<td>Mode of diagnosis</td>
<td>Laboratory confirm, Presumptive diagnosis</td>
</tr>
<tr>
<td>Health workers' cadre</td>
<td>Medical Officer/Doctor, Medical Assistant/Clinical Officer, Nurse and lower level cadres</td>
<td></td>
</tr>
<tr>
<td>Health worker sex</td>
<td>Male, Female</td>
<td></td>
</tr>
<tr>
<td>Health worker age</td>
<td>Continuous variable</td>
<td></td>
</tr>
<tr>
<td>Patient seen by health worker with medical training</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Possession of national malaria guideline</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Possession of national malaria wall chart</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Patient-related</td>
<td>Total number of diagnoses presented on a single visit</td>
<td>Continuous variable</td>
</tr>
<tr>
<td>Age group of the patients</td>
<td>Less than 5 yrs, 5-14 yrs, 15 yrs and above</td>
<td></td>
</tr>
<tr>
<td>Patient treated with artemether lumefantrine</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Patient sex</td>
<td>Male, Female</td>
<td></td>
</tr>
</tbody>
</table>

Table 1 Classification tree variables (Continued)

<table>
<thead>
<tr>
<th>Type</th>
<th>Variable</th>
<th>Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patient-related</td>
<td>Total number of diagnoses presented on a single visit</td>
<td>Continuous variable</td>
</tr>
<tr>
<td>Possession of national malaria wall chart</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Patient treated with artemether lumefantrine</td>
<td>No, Yes</td>
<td></td>
</tr>
<tr>
<td>Patient sex</td>
<td>Male, Female</td>
<td></td>
</tr>
</tbody>
</table>

because of potential co-linearity and interactions, it would be complex to investigate for each covariate the nature of the relationship (linear, interaction terms, quadratic, etc.). Moreover, with 22 variables there are 462 possible two-way interactions and it is not feasible to consider all of them. For these reasons, non-parametric classification tree modelling methodologies were used [16-18]. A classification and regression tree (CART) analysis is a useful nonparametric data-mining technique. This analysis is particularly helpful when attempting to investigate which direct and indirect measures of risk are predictive of a newly emerging or complex disease [17]. Contrary to classical regression that uses linear combinations, this method does not require the data to be linear or additive. Furthermore, classification tree analysis does not require to predefine possible interactions between factors [18]. Therefore, the resulting classification trees accommodate in an intuitive manner more flexible relationships among variables, missing covariate values, multi-colinearity, and outliers [19]. When values for some predictive factors are missing, they can be estimated using other predictor ("surrogate") variables, permitting the use of incomplete data sets when generating regression trees [16,18]. Another advantage of classification tree analysis (compared with a classical multivariate regression analysis) is that it allows for the calculation of the overall discriminatory power, or relative importance, of each explanatory variable.

Classification tree modelling

To gain more insight into factors related to dependant variables of polypharmacy (prescription of ≥3 drugs) and co-prescription of AL with antibiotics in rural health facilities, the binary classification tree modelling methodology as introduced by Breiman [18] was used. Classification trees are widely used in applied fields as diverse as
medicine (diagnosis), computer science (data structures),
botany (classification), and psychology (decision theory)
[18-20]. Classification trees are popular in applied fields
partly because they are agreeable to graphical display and
easy to interpret compared to the use of strict numerical
interpretation. Flexibility and hierarchical nature are two
important features characterizing classification trees.

Classification tree analysis is a nonlinear and nonpara-
metric model that is fitted by binary recursive partition-
ing of multidimensional covariate space. The analysis
successively splits the data set into increasingly homo-
genous subsets until it is stratified to meet specified
criteria [16,18,19,21,22]. The Gini index was used as the
splitting method, and 10-fold cross-validation was used
to test the predictive capacity of the obtained trees. The
classification tree method performs cross validation by
growing maximal trees on subsets of data then calculat-
ing error rates based on unused portions of the data
set. To accomplish this, it divides the data set into 10
randomly selected and roughly equal "parts," with each
part containing a similar distribution of data from the
populations of interest (e.g., polypharmacy vs single
prescription). The method then uses the first nine parts
of the data, constructs the largest possible tree, and uses
the remaining 1/10 of the data to obtain initial esti-
mates of the error rate of the selected sub-tree. The
process is repeated using different combinations of the
remaining nine subsets of data and a different 1/10 data
subset to test the resulting tree. This process is repeated
until each 1/10 subset of the data has been used as to
test a tree that was grown using a 9/10 data subset. The
results of the 10 minitests are then combined to calcu-
late error rates for trees of each possible size; these
error rates are applied to prune the tree grown using
the entire data set. The consequence of this complex
process is a set of fairly reliable estimates of the inde-
dendent predictive accuracy of the tree, even when
some of the data for independent variables are incom-
plete, specific events are either rare or overwhelmingly
frequent, or both.

For each node in a generated tree, the "primary split-
ter" is the variable that best splits the node, maximizing
the purity of the resulting nodes. When the primary
splitting variable is missing for an individual observation
that observation is not discarded but, instead, a surro-

gate splitting variable is sought. A surrogate splitter is a
variable whose pattern within the data set, relative to the
outcome variable, is similar to the primary splitter. Thus,
the program uses the best available information in the
face of missing values. In data sets of reasonable quality,
this allows all observations to be used. This is a signifi-
cant advantage over more traditional multivariate regres-
sion modeling, in which observations missing any of
the predictor variables are often discarded [17-19].

Variable relative importance ranking
One of the goals of classification tree analysis is to de-
velop a simple tree structure for predicting data, result-
ing in relatively few variables that appear explicitly as
splitters, a result that may suggest that the other vari-
ables are not important in understanding or predicting
the dependent variable. However, unlike a linear regres-
sion model, a variable in a classification tree modelling
can be considered highly important even if it never
appears as a node splitter [19,21,23,24]. Because the
method keeps track of 'surrogate' splits in the tree-
growing process, the contribution a variable can make in
prediction is not determined only by primary splits.

To calculate a variable importance score, the classifica-
tion tree analysis method looks at the improvement
measure attributable to each variable in its role as either
a primary or a surrogate splitter. The values of ALL
these improvements are summed over each node and to-
talled, and are then scaled relative to the best performing
variable. The variable with the highest sum of improve-
ments is scored 100, and all other variables will have de-
creasing lower scores. The importance score measures a
variable's ability to perform in a specific tree of a specific
size either as a primary splitter or as a 'surrogate' splitter.
The relative importance ranking of variables tends to
change dramatically when comparing trees of substan-
tially different sizes. Therefore, the importance scores
(rankings) are strictly relative to a given tree structure
and should not be interpreted as the absolute informa-
tion value of a variable.

The TREE command in SPSS [15] was used to gener-
ate the classification trees showing the classification
rules generated through recursive partitioning and rela-
tive variable importance.

Ethical clearance
The study received ethical clearance from the Ifakara
Health Institute ethical review board (IH/IRB/No.A67-
2009) and national ethical clearance after having met the
criteria for ethical considerations.

Results
Description of patient characteristics by health facility
ownership
A total of 1,470 patients attended the outpatients depar-
tment (OPD) of health facilities, most of them (1,116, 76%) at
18 publicly owned facilities and the rest at 12 private fa-
cilities (Table 2). More than half (53.5%) of the patients
were less than five years old and 53% were females. The
majority (71%) of patients attended OPD of a peripheral
health facility while just 2.1% that of a hospital. Laboratory
diagnosis was done in more than half of the patients
(54%), while the rest were presumptively treated by a doc-
tor and/or other clinical personnel. Laboratory tests were
Table 2 Patient characteristics by health facility ownership

<table>
<thead>
<tr>
<th></th>
<th>Public n = 1,116 (76%)</th>
<th>Private n = 354 (24%)</th>
<th>Total n = 1,470</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>%</td>
<td>N</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>507</td>
<td>45.4</td>
<td>175</td>
</tr>
<tr>
<td>Female</td>
<td>609</td>
<td>54.6</td>
<td>179</td>
</tr>
<tr>
<td>Age groups</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less than 5</td>
<td>597</td>
<td>53.5</td>
<td>104</td>
</tr>
<tr>
<td>5 to 14</td>
<td>189</td>
<td>16.9</td>
<td>56</td>
</tr>
<tr>
<td>15 +</td>
<td>330</td>
<td>29.6</td>
<td>194</td>
</tr>
<tr>
<td>Health facility type</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dispensary</td>
<td>713</td>
<td>63.9</td>
<td>323</td>
</tr>
<tr>
<td>Health centre</td>
<td>403</td>
<td>35.1</td>
<td>0</td>
</tr>
<tr>
<td>Hospital</td>
<td>0</td>
<td>0</td>
<td>31</td>
</tr>
<tr>
<td>Diagnosis mode</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Laboratory confirmation</td>
<td>499</td>
<td>44.7</td>
<td>295</td>
</tr>
<tr>
<td>Presumptive</td>
<td>386</td>
<td>34.6</td>
<td>36</td>
</tr>
<tr>
<td>Missing</td>
<td>231</td>
<td>20.7</td>
<td>23</td>
</tr>
<tr>
<td>Total number of drugs taken on this visit; mean (95%CI)</td>
<td>2.2(2.1-2.3)</td>
<td>2.5(2.4-2.6)</td>
<td>2.3(2.2-2.3)</td>
</tr>
<tr>
<td>Number of drugs taken grouped</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One</td>
<td>178</td>
<td>16.0</td>
<td>42</td>
</tr>
<tr>
<td>Two</td>
<td>558</td>
<td>50.0</td>
<td>143</td>
</tr>
<tr>
<td>Three</td>
<td>311</td>
<td>27.9</td>
<td>121</td>
</tr>
<tr>
<td>More than three</td>
<td>59</td>
<td>5.3</td>
<td>44</td>
</tr>
<tr>
<td>Missing</td>
<td>10</td>
<td>0.9</td>
<td>4</td>
</tr>
</tbody>
</table>

More commonly used in private (83%) than in public sector (45%) health facilities. Overall, the average number of drugs prescribed per encounter was 2.3 (range: 1–6) per patient, with private sector patients receiving more drugs than those in public (2.5 vs. 2.2). Only 15% of the patients were prescribed only one drug while 7% received more than three drugs on one visit (Table 2).

Out of 1,470 patients interviewed, 14 did not have record of any medication taken. Of the remaining 1,456 patients who were prescribed at least one drug, 36.7% were prescribed three or more medicines (polypharmacy). Using the variables in Table 1 to fit the model, the most important predictor of polypharmacy was the total number of diagnosed illnesses at a single clinic visit — a patient-related factor (Table 3). This was followed by a facility related factor — ownership (private/public) with discriminatory power of 36.1%. Other factors were treating a patient with first-line drugs (AL) with a power of 27%, health worker age (power: 26%), a health worker being trained in IMCI with anti-malarial components, a facility not experiencing AL stock-out in the previous 90 days (health facility-related), health worker gender, health worker having been supervised in the previous six months (power: 11.5%), the remaining factors had <10% discriminatory power (Table 3).

Table 3 Ranking of the predictors of polypharmacy by their overall discriminatory power

<table>
<thead>
<tr>
<th>Independent variable</th>
<th>Power</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total number of diagnoses per patient on a single visit</td>
<td>100.0</td>
</tr>
<tr>
<td>Ownership of health facility (public/private)</td>
<td>36.1</td>
</tr>
<tr>
<td>Patient treated with artemether lumefantrine</td>
<td>26.8</td>
</tr>
<tr>
<td>Health worker age</td>
<td>26.0</td>
</tr>
<tr>
<td>Patient seen by health worker with IMCI training</td>
<td>16.1</td>
</tr>
<tr>
<td>No stockouts of artemether lumefantrine in previous 90 days</td>
<td>13.9</td>
</tr>
<tr>
<td>Health worker sex</td>
<td>12.1</td>
</tr>
<tr>
<td>Patient seen by health worker supervised within previous six months</td>
<td>11.5</td>
</tr>
<tr>
<td>Transmission season</td>
<td>4.8</td>
</tr>
<tr>
<td>Availability of any scale in the health facility</td>
<td>4.5</td>
</tr>
<tr>
<td>Patient seen by health worker with experience on caring patients</td>
<td>3.9</td>
</tr>
<tr>
<td>Supplies to administer oral medications</td>
<td>2.4</td>
</tr>
<tr>
<td>Type of health facility</td>
<td>1.0</td>
</tr>
<tr>
<td>Health workers’ cadre</td>
<td>0.4</td>
</tr>
<tr>
<td>Artemether lumefantrine available in health facility today</td>
<td>0.1</td>
</tr>
</tbody>
</table>
The difference in discriminatory power between the top predictor variable and the next most important predictor was substantial (100% vs 36.1%). Similar results are obtained by the classification tree model (Figure 1).

Patients diagnosed with more than one disease on a single attendance had higher chances of being prescribed three or more medicines: 69.6% (compared to 32.1% in those with one diagnosis). The next most important factor among patients with more than one diagnosis was supervision of health worker within the previous six months where polypharmacy occurred in 77.2% patients treated by an unsupervised health worker as compared to 52% in those treated by a supervised health worker (p-value<0.0001). For patients with one diagnosis, the next splitting factor was being treated with AL whereby more than three drugs were prescribed to 43% of patients treated with AL compared to 27.3% of those not treated with AL. Looking further at patients with one diagnosis and treated with AL, those treated in a facility that did not experience AL stock-out in the previous three months were more likely to be given more than three drugs (48%) compared to 25% of those served in clinics that experienced AL stock outs in the previous three months but this difference was not significant.

**Figure 1** Classification tree representing the predictors of polypharmacy prevalence. Note: Inside the boxes (nodes); Yes = Greater or equal to three drugs (Polypharmacy), No = Less than three drugs.
Co-presentation of antibiotics with artemether-lumefantrine

Overall, 84.7% (n = 1,233) of the patients interviewed had more than one treatment with a median number of two prescribed medications per patient-clinic visit (range 1–6). Among the 508 (34.9%) patients treated with AL, the most commonly prescribed concomitant medications were analgesics (87.4%, n = 445), followed by antibiotics (41.6%, n = 212) and other medications (31.6%, n = 161). According to the overall discriminatory power from the classification tree analysis, patient age emerged as the strongest overall risk factor for co-prescription of AL with an antibiotic, closely followed by the season of the interview (power: 93%), mode of diagnosis (power: 90%), availability of national malaria guideline (power: 84.5%) (Table 4).

Classification tree modelling for co-prescription of artemether-lumefantrine with antibiotics

The classification tree partitioned the predictors according to the overall discriminatory power of variables (Figure 2). In modelling the co-prescription of AL with antibiotics, patient clinical status (number of diagnoses) was found to be very important and when included in the model it masked the effect of other variables. For that reason it was deliberately removed so as to examine and assess the importance of other factors which may not be as obvious. The classification tree for co-prescription of AL with antibiotics has the most important predictors as patient age, transmission season, mode of diagnosis and location of health facility in terms of HDSS. Co-prescription of AL with antibiotics was done for 41.7% of the patients visiting the clinics during the study period. This was common among patients aged less than five years, at 47.9% compared to 35.2% of those aged five years and above. For the older patients (≥5 year), this co-prescription was common in those treated after being tested for malaria (42.1%) compared to those presumptively treated (28.6%), though the difference was not statistically significant (P-value = 0.1471). In the under fives, co-prescription occurred more frequently during the high (55.6%) than in the low malaria transmission season (38.5%). The location of the facility was important whereby patients treated in the high malaria transmission season at a facility in the Ifakara HDSS catchment area were more likely to be co-prescribed with AL and an antibiotic (62.5%) than those served in Rufiji HDSS catchment area (46.9%) (P-value = 0.0086). Some variables did not appear as main splitters in the tree despite their overall high discriminatory power (Table 4). This is due to the fact that they are important at several stages of the classification building tree but never as important as the main splitter.

Discussion

The first step to improve the rational use of drugs is to understand prescribing patterns. This paper demonstrates the application of classification tree analysis models a non-parametric modelling methodology to explore factors influencing drug prescription practices in health facilities of rural Tanzania. Classification trees are user-friendly and easy to interpret and have been utilized to identify the main risk factors for malaria infection in Burundi and Vietnam [21,23]. In this analysis, the classification tree method revealed logical results of the relationships between the outcomes of interest (polypharmacy and co-prescription of AL with antibiotics) and the predictor variables.

While multinomial models reveal factors that predict the outcome in the whole population, classification tree analysis helps in detecting population segments that need specific attention in relation to the outcome. Segmenting populations supports decision makers in targeting their efforts to specific subgroups. It is
important to note that this analysis does not support any claim of superiority of one methodology compared to the other.

This analysis demonstrated some real-life treatment practices at the facilities. It is common for most patients to report with more than one complaint, which compels the health worker to prescribe more than one medication for each identified illness. The IMCI strategy was introduced by WHO to reduce child morbidity and mortality. Indeed, treatment of childhood illness may also be complicated by the need to combine therapy for several conditions [25]. It is therefore not surprising that the total number of diagnoses was the most important predictor of polypharmacy as revealed by both its ranking in terms of importance and being a major splitter in the classification tree. A plausible explanation is that health workers are insecure about the diagnosis since in most cases the available laboratory services are unable to
accurately determine the cause of illness. Therefore, to satisfy the patients, the health worker prescribes more drugs and then justifies this practice by diagnosing several pathological conditions.

Supervision of health workers is another important predictor of polypharmacy. Indeed, polypharmacy was more common among unsupervised health workers. It is worth noting that this study did not comprehensively explore the type of supervision, limiting the conclusions on the possible consequences of not having adequate supervision on prescription practices. Nonetheless, it would be advisable for district health authorities to include drug prescription practices during their routine supervision visits.

Polypharmacy is common in the private sector where individual motivation and incentives may have preponderance over the knowledge and skills of the providers. Health worker age, sex, being trained in IMICI and being supervised in previous six months were the health worker-related variables identified as the other important variables that explain polypharmacy. The observation that patients treated with AL in a clinic that did not experience stock-outs of artemether-lumefantrine are more likely to be prescribed several treatments is expected and could be due to the IMCI strategy, which recommends this practice, especially for children presenting with multiple symptoms.

In general, presumptive diagnosis was common in public facilities while laboratory results were more used in privately owned facilities. In SSA, it is common practice not to use the test result when treating fever cases [26]. The practice of presumptive treatment for malaria has been and is still being practiced in several health facilities, both in rural- and urban-based centres, because the syndromic treatment for febrile illnesses has been standard practice for long time, and clinicians mistrust the laboratory results due to poor quality of the laboratory tests. Patients with a negative malaria test are still treated with an anti-malarial on the grounds that signs and symptoms are compatible with the diagnosis of malaria. This continues even after the introduction of malaria rapid diagnostic tests [26].

The recommended first-line anti-malarial drug (AL) was more commonly used in public than in private facilities as the former are supplied with essential drugs directly by the central pharmacy. This may change with the introduction of the Affordable Medicine Facility for malaria (AMFm) strategy in Tanzania whose approach is to supply subsidized AL to the private sector [27]. It will therefore be interesting to look at how these changes in the health system will affect the prescription patterns in Tanzania and other African countries over time.

There was a high level of co-prescription of antibiotics with AL, particularly in children less than five years living around the Ifakara area. A study in Ghana conducted predominantly in government facilities in an urban setting showed that 30.8% of patients were receiving at least one antibiotic in addition to the recommended anti-malarial [28]. Co-prescription with antibiotics is a life saving practice and commonly practiced in health facilities in sub-Saharan Africa since patients can present with multiple illnesses at a single clinic visit. This is why it was promoted under the IMCI strategy. However, this has implications for the patient’s safety as it may increase the risk of drug-drug interactions (D-DI), therapeutic failure, drug resistance and adverse events [29]. If this practice of co-prescription of drugs, which is common in rural health facilities, is not addressed, it may cause a major problem as the risk of adverse drug events (ADE) increases with an increasing number of medicines prescribed [30-33].

Classification tree analysis models are useful in expressing relationships between variables since they do not need to be linear or additive and the possible interactions do not need to be pre-specified or of a particular multiplicative form. Results are presented in the form of a decision tree, a different approach than the standard statistical analysis. The results highlight areas that merit further attention and can act as a guide for further epidemiological and hypothesis-driven research. The classification trees provide a more flexible relationship between variables; missing values of the covariates, multi-collinearity and outliers are taken care of in an intuitively and correct manner [19]. This methodology has proven its usefulness and adequacy in other areas and contexts, for example the bee colony collapse disorder, bovine spongiform encephalopathy and analysis of urban farming systems in central Africa [17,19,20]. In malaria, this method has been used for ranking highland malaria risk factors in Burundi and in Vietnam [21,23]. However, it has a limitation of not providing p-values and standard deviations as in familiar parametric methods. Another limitation is that confounding values make classification tasks more difficult. Although this decreases true positive rates and accuracies, the constructed classification trees are valuable. The benefit of the trees is that they simulate more the real life situation with patients who have confounding attributes. Future work should be aimed at finding different ways to handle confounding values in the reasoning process. Another advantage is that the importance of the variable can still be seen in the variable relative importance.

A variable may be ranked among the top ones for the discriminatory power but may not appear as an important splitter in the classification tree, e.g., training in IMCI with anti-malarial component. This happens because it is an important surrogate but not a major splitter. The ranking by overall discriminatory power is determined by the sum
across all nodes in the tree of the improvement score that the splitter has when it acts as a primary or a surrogate splitter. Consequently, a health worker having IMCI training with anti-malarial component enters the tree as the top surrogate splitter in many nodes but never as primary splitter.

Initiatives like the INESS Phase IV platform, working within communities through the HDSS system should continue to evaluate the effect of provider practice on new and old products and may be extended to other therapeutic areas such as ARVs, anti-TBs, antibiotics and vaccines. Inclusion of the private sector, e.g. private pharmacies, retail shops, mobile drug sellers and even traditional herbalists will provide public health managers with more evidence on which to base their decisions.

Conclusions

The classification tree analysis approach can be used to classify prescription patterns using health facility information. This procedure offers an opportunity to examine alternative methods of identifying predictors of prescription patterns that might assist decision makers to improve targeted service provision factors. This study has demonstrated that polypharmacy is mainly associated with multiple diagnoses while co-prescription of AL with antibiotics is mainly associated with patient age. Although these are considered life saving practices, they expose patients to risks of adverse drug reactions. Bacterial antibiotic resistance should be looked as a public health emergency and the two competing causes of increased bacterial resistance are irrational antibiotic use and availability of poor quality antibiotics. Drug prescription practices may improve by introducing targeted interventions such as regular supervision of health care providers in both public and private health facilities.

Competing interests

The authors declare that they have no competing interests.

Authors’ contributions

DK drafted the manuscript in consultation with NS, JM, BA, RK, AD, FB and MS, MN supervised data entry, cleaning, preliminary analysis and review of subsequent manuscript, DK conducted the data mining analyses in consultation with NS, DJ, NS, JM, UDA and AD reviewed the manuscript. All authors read and approved the final manuscript.

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